

EPFL

Physics of Materials

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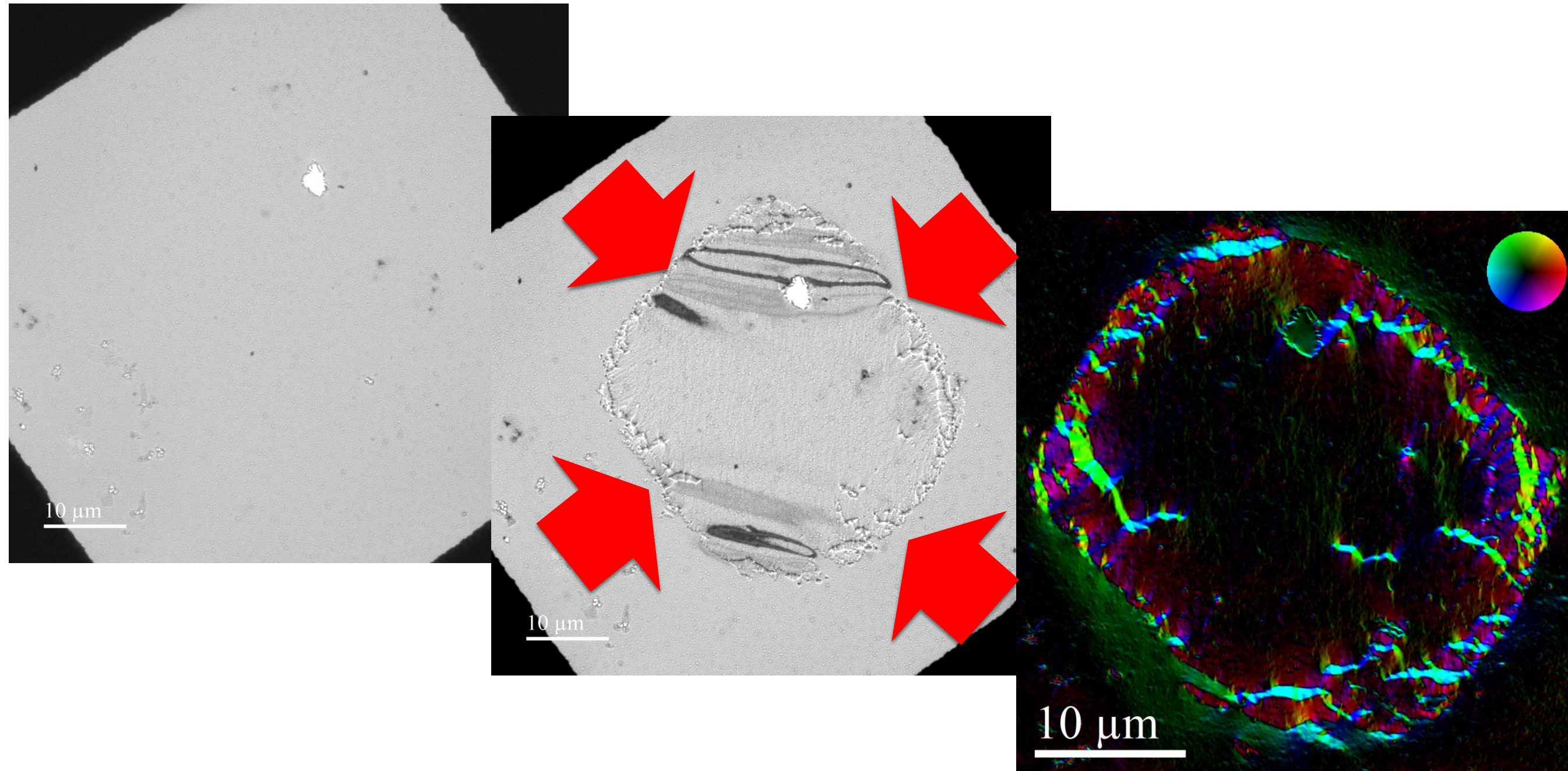


Chapter 3: Theory of Elasticity

Masters Course PHYS-307

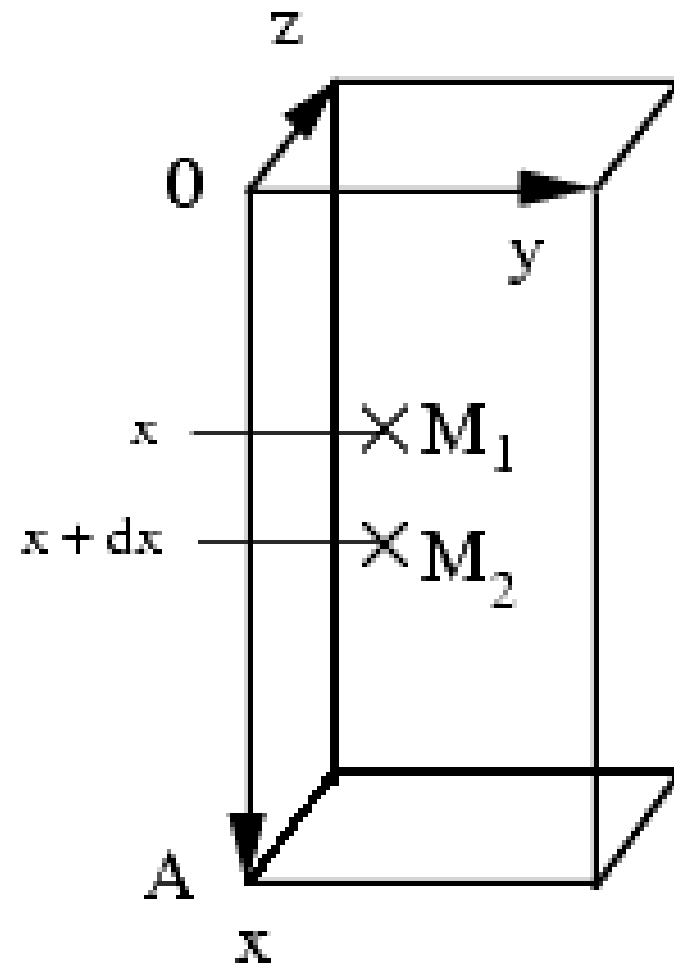
Fall 2024

FM transition of FeRh within AFM phase induces elastic stresses



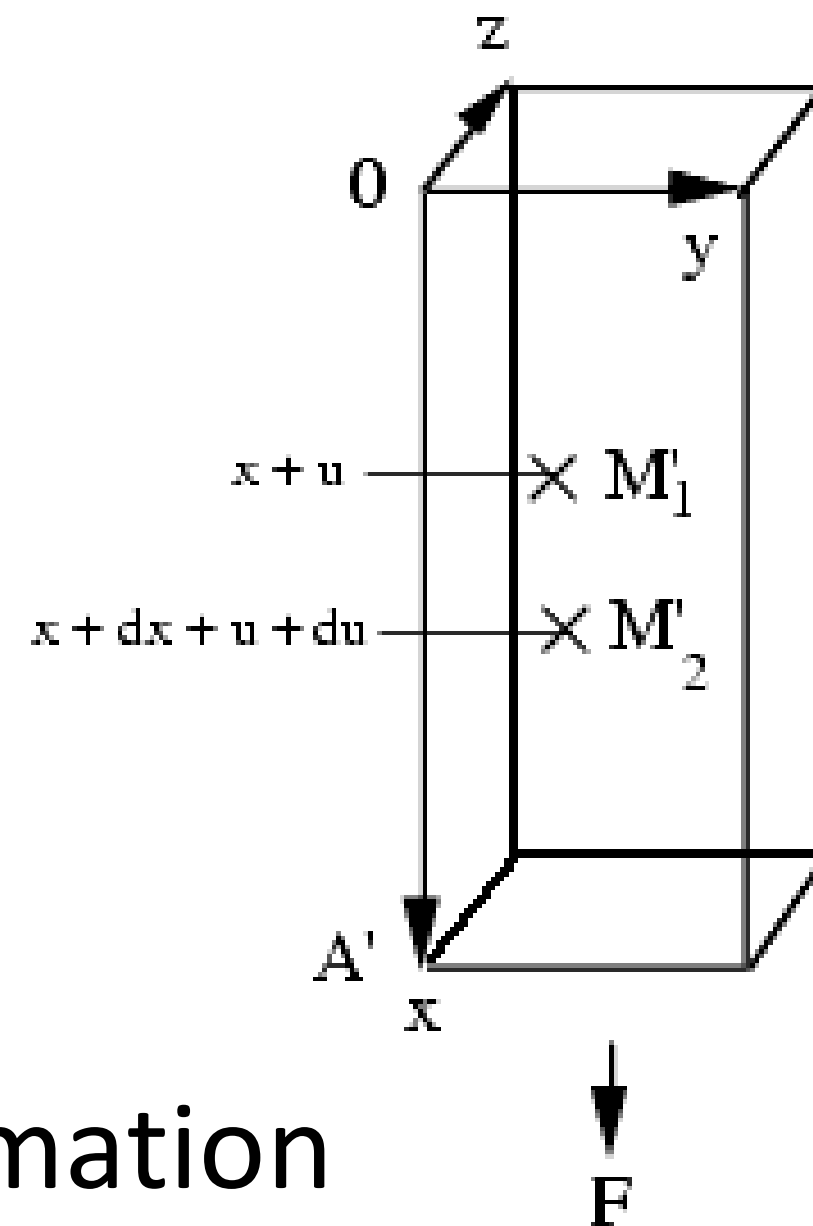
The compressive bi-axial elastic stresses due to the volume expansion accompanying the transition inhibit the ferromagnetic transition and fragments

Simple laws of linear elasticity



Hooke's law

$$\sigma = E \cdot \varepsilon$$



Deformation

$$\varepsilon = \frac{M'_1 M'_2 - M_1 M_2}{M_1 M_2} = \frac{du}{dx}$$

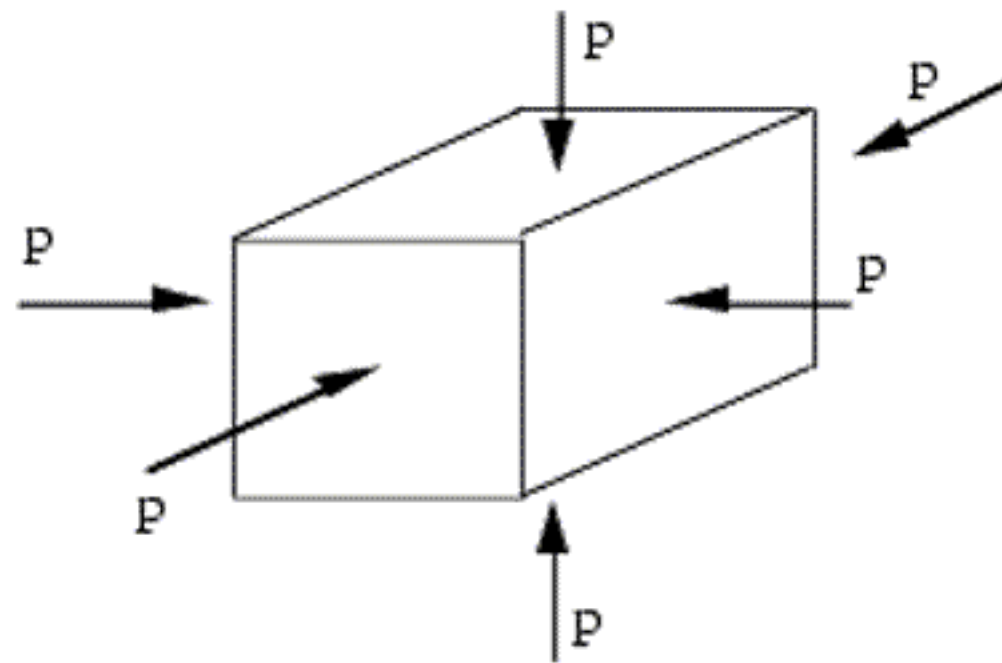
Assumptions

1. Homogenous
2. Isotropic
3. Equilibrium
4. Reversible

Hooke's law

$$\sigma = E \cdot \varepsilon$$

Example : hydrostatic compression



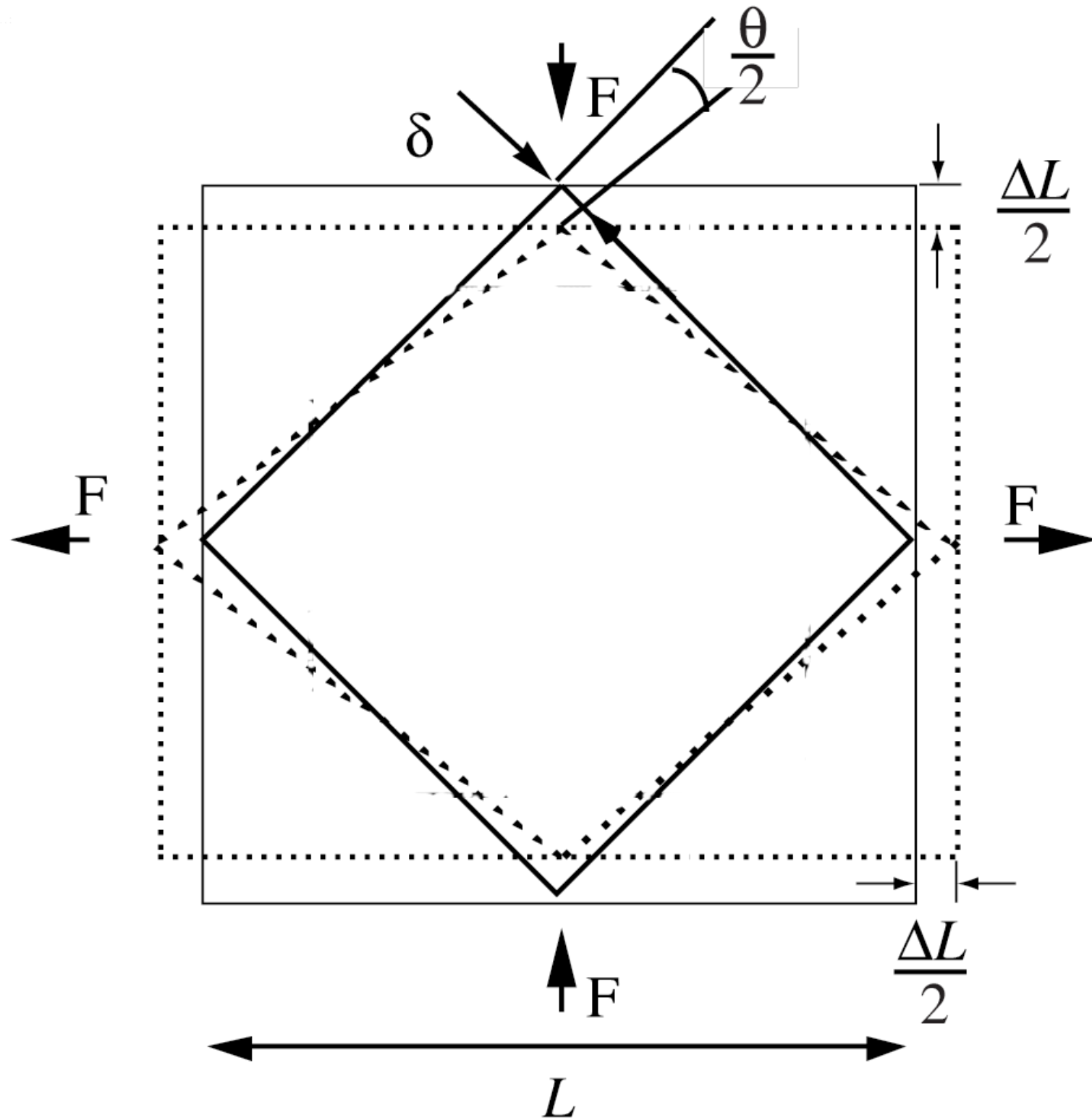
$$\frac{\Delta h}{h} = -\frac{p}{E} + \nu \frac{p}{E} + \nu \frac{p}{E} = -\frac{p}{E}(1 - 2\nu)$$

$$\frac{\Delta V}{V} = \frac{\Delta h}{h} + \frac{\Delta w}{w} + \frac{\Delta l}{l} = -\frac{3 \cdot p}{E}(1 - 2\nu)$$

$$p = -K \frac{\Delta V}{V} \quad K = \frac{E}{3(1 - 2\nu)}$$

Remember from your introductory thermodynamics course discussing the ideal gas law. K is the compressibility, described as **$K \sim 1/p$**

Example: pure shear-stress



$$\frac{\Delta L}{L} = \frac{F}{S} \left(\frac{1+\nu}{E} \right) = \sigma \left(\frac{1+\nu}{E} \right)$$

$$\frac{\Delta L}{2} = \sqrt{2} \cdot \delta = \sqrt{2} \cdot \frac{L}{2} \frac{\sqrt{2}}{2} \sin \frac{\theta}{2} \approx \theta \frac{L}{4}$$

$$\Rightarrow \frac{\Delta L}{L} = \frac{\theta}{2}$$

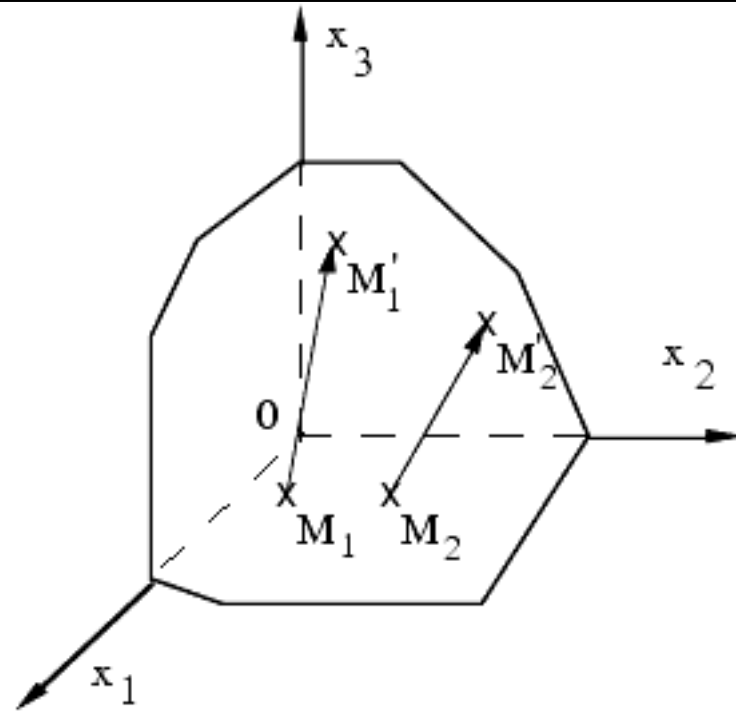
$$\theta = 2\sigma \left(\frac{1+\nu}{E} \right)$$

But...

$$\sigma = \mu \theta$$

Shear modulus $\mu = \frac{E}{2(1+\nu)}$

Strain tensors



$$dx'_i = dx_i + du_i$$

Landau-Lifshitz theory

$$dl = \sqrt{dx_1^2 + dx_2^2 + dx_3^2}$$

Before

$$dl' = \sqrt{dx'^2_1 + dx'^2_2 + dx'^2_3}$$

After deformation

Distortion tensor β_{ik}

Displacement vector $\vec{u} = \vec{u}(x_1, x_2, x_3)$

$$du_i = \frac{\partial u_i}{\partial x_k} dx_k = \beta_{ik} dx_k$$

$$dl'^2 = (dx_i + du_i)^2$$

$$dl'^2 = dx_i^2 + 2dx_i du_i + du_i^2 = dl^2 + 2 \frac{\partial u_i}{\partial x_k} dx_k dx_i + \frac{\partial u_i}{\partial x_k} \frac{\partial u_i}{\partial x_l} dx_k dx_l$$

$$dl'^2 = dl^2 + 2u_{ik} dx_k dx_i$$

Strain tensor u_{ik}

$$u_{ik} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} + \cancel{\frac{\partial u_l}{\partial x_k} \frac{\partial u_l}{\partial x_i}} \right) \approx \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} \right)$$

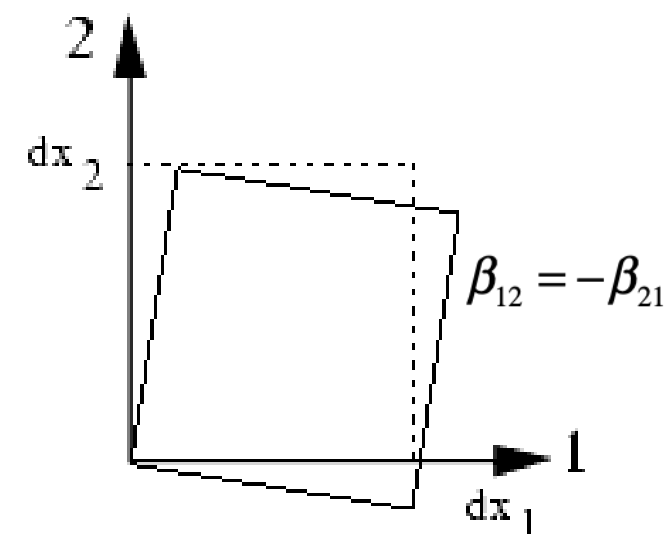
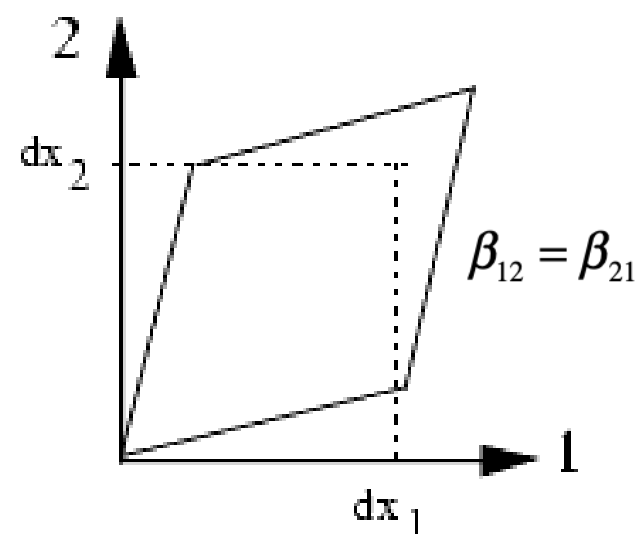
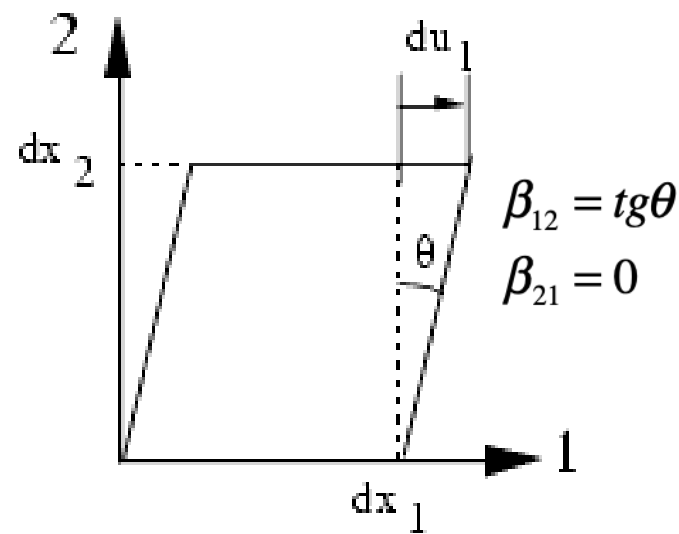
Physical significance of the strain tensor

$$dl'^2 = (\delta_{ik} + 2u_{ik}) dx_k dx_i = (1 + 2u^{(i)}) dx_i^2 \quad \text{since a basis exists where } u_{ik} \text{ is diagonal}$$

$\nwarrow$
 Kronecker's delta

in this basis $dx'_i = \sqrt{(1 + 2u^{(i)})} dx_i \simeq (1 + u^{(i)}) dx_i$

$$\frac{dV'}{dV} = \frac{dx'_1 dx'_2 dx'_3}{dx_1 dx_2 dx_3} = \prod_{i=1}^3 (1 + u^{(i)}) \simeq (1 + u^{(1)} + u^{(2)} + u^{(3)}) = (1 + \text{tr}(u))$$



deformations

rotations

$$\beta_{ik} = \frac{1}{2}(\beta_{ik} + \beta_{ki}) + \frac{1}{2}(\beta_{ik} - \beta_{ki}) = u_{ik} + \omega_{ik}$$

Stress tensor

Volume forces

$$\int F_i dV$$

$$u_{ik} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} \right)$$

We assume that forces can only act on surfaces and are transmitted to volume through the surfaces.

$$\int F_i dV = \int \frac{\partial \sigma_{ik}}{\partial x_k} dV = \oint \sigma_{ik} ds_k \quad \text{Divergence theorem}$$

equilibrium

$$F_i = 0 \Rightarrow \frac{\partial \sigma_{ik}}{\partial x_k} = 0$$

Review of thermodynamics

1st principle

$$dE = \delta Q + \delta W$$

If **reversible** process

$$\delta Q = TdS = dQ$$

$$dE = TdS - PdV$$

If **pressure**=constant

$$dQ = TdS = dE + PdV = d(E + PV) = dH$$

The enthalpy (heat) is an exact differential

$$dH = TdS + VdP$$

Review of thermodynamics

If **temperature**=constant

$$dW = dE - dQ = d(E - TS) = dF = dE - SdT - TdS$$

$$dF = -PdV - SdT \qquad dE = TdS - PdV$$

The Helmholtz free energy (work) is an exact differential

If **temperature+pressure**=constant

$$G = E - TS + PV = H - TS = F + PV$$

$$dG = -SdT + VdP = 0 \quad G \text{ minimum}$$

For N particles

$$dG = -SdT + VdP + \mu dN$$

$G = \mu N$ is the chemical potential

Review of thermodynamics

How to use these thermodynamic functions?

Irreversible process

$$\frac{\delta Q}{dt} \leq T \frac{dS}{dt}$$

$$\frac{\delta Q}{dt} = \frac{dE + PdV}{dt} \leq T \frac{dS}{dt} \Rightarrow \frac{dE}{dt} - T \frac{dS}{dt} \leq \frac{-PdV}{dt}$$

If **V&S**=constant

$$\frac{dE}{dt} = \frac{\delta Q}{dt} \leq T \frac{dS}{dt} = 0$$

E minimum

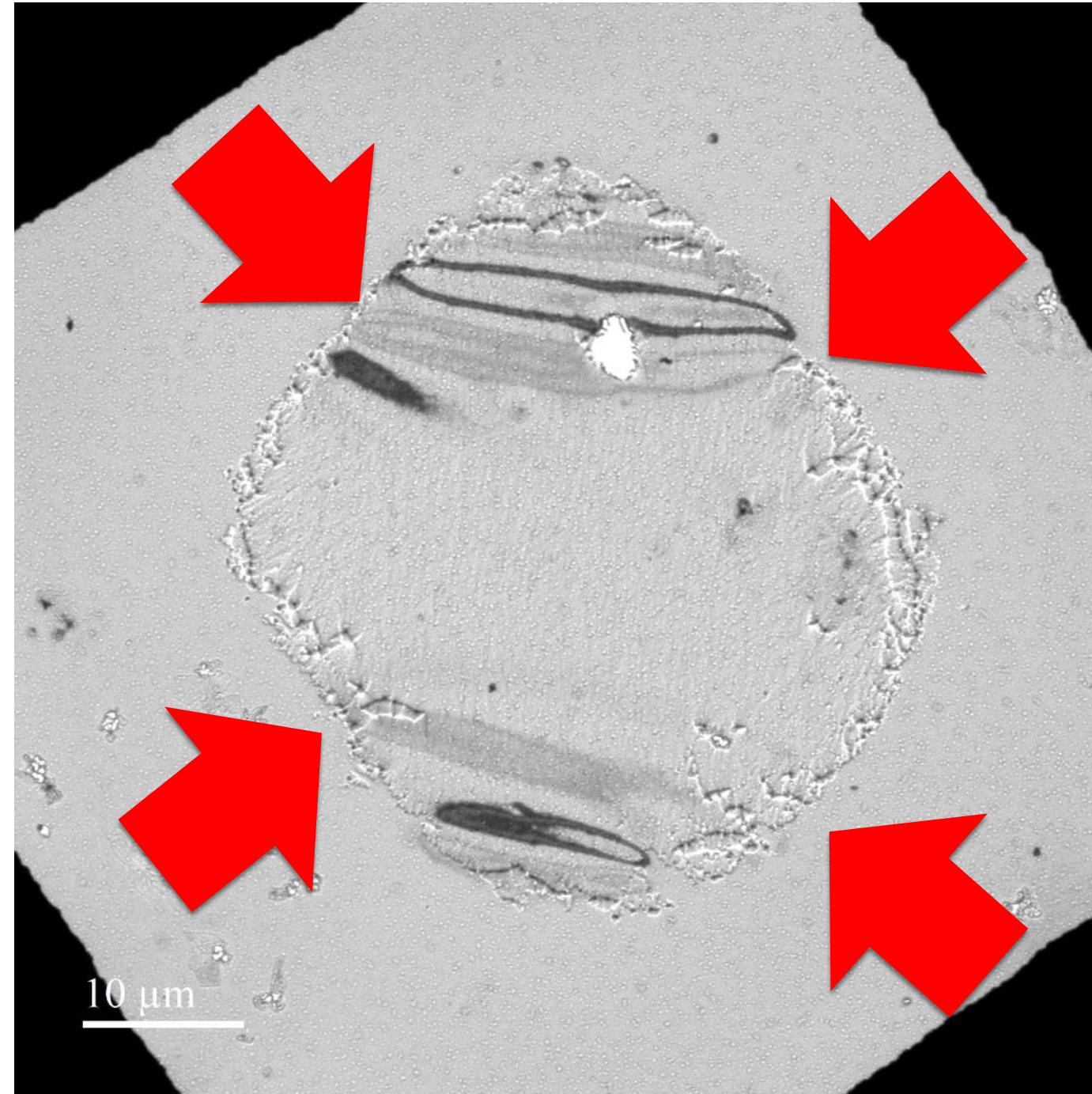
If **temperature&volume**=constant

$$\frac{dE}{dt} - T \frac{dS}{dt} = \frac{d(E - TS)}{dt} = \frac{dF}{dt} \leq 0 \quad F \text{ minimum}$$

If **temperature&pressure**=constant

$$= \frac{d(E - TS + PV)}{dt} = \frac{dG}{dt} \leq \frac{VdP}{dt} = 0 \quad G \text{ minimum}$$

FM transition of FeRh within AFM phase induces elastic stresses



How do we express transformation stresses in FM transition?

Thermodynamics of deformation

Work of internal forces (! sign -)

$$\int \delta w_{\text{int}} dV = \int \frac{\partial \sigma_{ik}}{\partial x_k} \delta u_i dV$$

$$\int_V \delta w_{\text{int}} dV = \oint \sigma_{ik} \delta u_i ds_k - \int_V \sigma_{ik} \frac{d\delta u_i}{dx_k} dV$$

$$\int_V \delta w_{\text{int}} dV = - \int_V \sigma_{ik} \delta u_{ik} \cdot dV$$

$$\delta w_{\text{int}} = -\sigma_{ik} \delta u_{ik}$$

...per unit volume

Thermodynamics of deformation

Energy

$$de = \delta q - \delta w_{\text{int}} = Tds + \sigma_{ik} du_{ik}$$

Uniform compression

$$dE = TdS - p du_{ll} V = TdS - p \frac{dV}{V} V = TdS - p dV$$

$$df = -sdT + \sigma_{ik} du_{ik}$$

$$dh = Tds - u_{ik} d\sigma_{ik}$$

$$dg = -sdT - u_{ik} d\sigma_{ik}$$

$$\sigma_{ik} = \left(\frac{\partial e}{\partial u_{ik}} \right)_S = \left(\frac{\partial f}{\partial u_{ik}} \right)_T$$

$$u_{ik} = - \left(\frac{\partial g}{\partial \sigma_{ik}} \right)_T = - \left(\frac{\partial g}{\partial \sigma_{ik}} \right)_S$$

Thermodynamics of deformation

The physical origin of the deformation



$$dF = -SdT - PdV + f_r dl \quad f_r > 0$$

$$f_r = \left(\frac{\partial F}{\partial l} \right)_{T,V} = \left(\frac{\partial E}{\partial l} \right)_{T,V} - T \left(\frac{\partial S}{\partial l} \right)_{T,V} \quad (1)$$

Internal
energy
variation

Entropy
variation

Metals vs. Elastomers

F is an exact total differential

$$\frac{\partial}{\partial l} \left(\frac{\partial F}{\partial T} \right)_l = \frac{\partial}{\partial T} \left(\frac{\partial F}{\partial l} \right)_T \Rightarrow - \left(\frac{\partial S}{\partial l} \right)_{T,V} = \left(\frac{\partial f_r}{\partial T} \right)_{l,V} \quad (2)$$

$$f_r = \left(\frac{\partial E}{\partial l} \right)_{T,V} + T \left(\frac{\partial f_r}{\partial T} \right)_{l,V} \quad (1) + (2)$$

Hooke's law

hypothesis

equilibrium:

Undeformed state

$$u_{ik} = 0 \Rightarrow \sigma_{ik} = 0$$

$$\sigma_{ik} = \left. \frac{\partial F}{\partial u_{ik}} \right)_T$$

F doesn't contain a linear term in u_{ik}, σ_{ik}

We search for invariants as coefficients of f , i.e, powers of u_{ik}

u_{ik} can be diagonalized, we can write the characteristic equation

$$\text{Det}(\bar{u} - \lambda I) = 0 \Rightarrow \lambda^3 - \text{Tr}(\bar{u})\lambda^2 - C_2\lambda + \text{Det}(\bar{u}) = 0$$

$$C_2 = u_{12}^2 + u_{13}^2 + u_{23}^2 - u_{11}u_{22} - u_{11}u_{33} - u_{22}u_{33}$$

but $\sum_{ik} u_{ik}^2 = \left[\text{Tr}(\bar{u}) \right]^2 + 2C_2$ is also an invariant

$\text{Tr}(\bar{u}) = u_{ii}$ first order invariant

$$\left[\text{Tr}(\bar{u}) \right]^2, C_2, \text{Det}(\bar{u})$$

second order invariant third order invariant

Hooke's law

A possible form of free energy is:

$$f = f_0 + \frac{\lambda}{2} \left[\text{Tr}(\bar{\bar{u}}) \right]^2 + \mu \sum_{ik} u_{ik}^2$$

λ and μ are Lamé constants
 $\lambda \sim$ Young's modulus & ν
 $\mu \sim$ shear modulus

Search for a more physical form

$$u_{ik} = \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\bar{u}}) \right) + \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\bar{u}})$$

Pure shear hydrostatic pressure

$$\sum_{ik} \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\bar{u}}) \right)^2 \quad \text{is also an invariant}$$

$$f = f_0 + \frac{K}{2} \left[\text{Tr}(\bar{\bar{u}}) \right]^2 + \mu \sum_{ik} \left(u_{ik} - \frac{1}{3} \delta_{ik} \text{Tr}(\bar{\bar{u}}) \right)^2$$

with $K = \lambda + \frac{2}{3} \mu$

Hooke's law

$$\sigma_{ik} = \left. \frac{\partial f}{\partial u_{ik}} \right)_T \Rightarrow \sigma_{ik} = 2\mu \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\bar{u}}) \right) + \delta_{ik} K \text{Tr}(\bar{\bar{u}})$$

$$\text{Note that: } \text{Tr}(\bar{\bar{\sigma}}) = 3K \text{Tr}(\bar{\bar{u}})$$

Hooke's law...depending on strains

$$u_{ik} = \frac{1}{2\mu} \left(\sigma_{ik} - \frac{1}{3} \delta_{ik} \cdot \text{Tr}(\bar{\bar{\sigma}}) \right) + \frac{1}{9K} \delta_{ik} \cdot \text{Tr}(\bar{\bar{\sigma}})$$

Physical signification of μ and of K

$$\text{Uniform compression: } \sigma_{ik} = -p \delta_{ik}$$

$$\text{Tr}(\bar{\bar{u}}) = \frac{\Delta V}{V} = -\frac{p}{K} \quad \frac{1}{K} = -\left(\frac{\partial V}{\partial P} \right)_T \frac{1}{V}$$

K is the compressibility modulus

Hooke's law

$$\text{Pure shear-stress } u_{12} = \frac{\theta}{2} \Rightarrow \sigma_{12} = \mu\theta$$

μ is the shear modulus

$$\text{Homogenous traction: } \sigma = \sigma_{33} \Rightarrow u_{33} = \frac{\sigma}{E} \Rightarrow E = \frac{9K\mu}{3K + \mu}$$

$$u_{11} = u_{22} = -\nu \frac{\sigma}{E} \text{ with } \nu = \frac{1}{2} \frac{3K - 2\mu}{3K + \mu} \Rightarrow -1 < \nu < \frac{1}{2}$$

New formulation of the Hooke's law:

$$\sigma_{ik} = \frac{E}{1+\nu} \left(u_{ik} + \frac{\nu}{1-2\nu} \delta_{ik} \cdot \text{Tr}(\bar{u}) \right)$$

$$u_{ik} = \frac{1}{E} \left((1+\nu) \sigma_{ik} - \nu \cdot \delta_{ik} \cdot \text{Tr}(\bar{\sigma}) \right)$$

Effect of temperature

$$f = f_0(T) - K\alpha(T - T_0)Tr(\bar{\bar{u}}) + \frac{K}{2} [Tr(\bar{\bar{u}})]^2 + \mu \sum_{ik} \left(u_{ik} - \frac{1}{3} \delta_{ik} Tr(\bar{\bar{u}}) \right)^2$$

$$\sigma_{ik} = -K\alpha(T - T_0)\delta_{ik} + 2\mu \left(u_{ik} - \frac{1}{3} \delta_{ik} \cdot Tr(\bar{\bar{u}}) \right) + \delta_{ik} K Tr(\bar{\bar{u}})$$

$$\bar{\bar{\sigma}} = 0 \Rightarrow Tr(\bar{\bar{u}}) = \frac{\Delta V}{V} = \alpha(T - T_0)$$

Equilibrium equation of isotropic bodies $u_{ik} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} \right)$

$$\frac{\partial \sigma_{ik}}{\partial x_k} = 0 = \frac{E}{1+\nu} \left(\frac{\partial u_{ik}}{\partial x_k} + \frac{\nu}{1-2\nu} \frac{\partial Tr(\bar{\bar{u}})}{\partial x_i} \right) = \frac{E}{2(1+\nu)} \frac{\partial^2 u_i}{\partial x_k^2} + \frac{E}{2(1+\nu)(1-2\nu)} \frac{\partial^2 u_l}{\partial x_l \partial x_i}$$

$$(1-2\nu)\Delta \vec{u} + \overrightarrow{grad}(\text{div}(\vec{u})) = 0 \Rightarrow \Delta \Delta \vec{u} = 0 \quad \vec{u} \text{ is biharmonic}$$

Generalized Hooke's law

$$\sigma_{ij} = C_{ijkl} u_{kl}$$

energy $W = \frac{1}{2} \sigma_{ij} u_{ij} = C_{ijkl} u_{kl} u_{ij}$

Symmetry: 6 indices notation

$$11 \rightarrow 1, 22 \rightarrow 2, 33 \rightarrow 3, 23 \rightarrow 4, 31 \rightarrow 5, 12 \rightarrow 6$$

but $u_4 = 2u_{23}, u_5 = 2u_{31}, u_6 = 2u_{12}$

$$\begin{bmatrix} \sigma_1 \\ \sigma_2 \\ \sigma_3 \\ \sigma_4 \\ \sigma_5 \\ \sigma_6 \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ C_{12} & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ C_{13} & C_{23} & C_{33} & C_{34} & C_{35} & C_{36} \\ C_{14} & C_{24} & C_{34} & C_{44} & C_{45} & C_{46} \\ C_{15} & C_{25} & C_{35} & C_{45} & C_{55} & C_{56} \\ C_{16} & C_{26} & C_{36} & C_{46} & C_{56} & C_{66} \end{bmatrix} \cdot \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{bmatrix}$$

Generalized Hooke's law

Crystalline system	C_{ij}	a_{ij}
triclinic	18	3
monoclinic	12	3
orthorhombic	9	3
tetrahedral	6	2
rhombohedral	6	2
hexagonal	5	2
cubic	3	1

Application to cubic crystals

$$C_{mn} = \begin{bmatrix} C_{11} & C_{12} & C_{12} & 0 & 0 & 0 \\ C_{12} & C_{11} & C_{12} & 0 & 0 & 0 \\ C_{12} & C_{12} & C_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{44} \end{bmatrix}$$

$$\sigma_{11} = c_{11}u_{11} + c_{12}u_{22} + c_{12}u_{33}$$

$$\sigma_{22} = c_{12}u_{11} + c_{11}u_{22} + c_{12}u_{33}$$

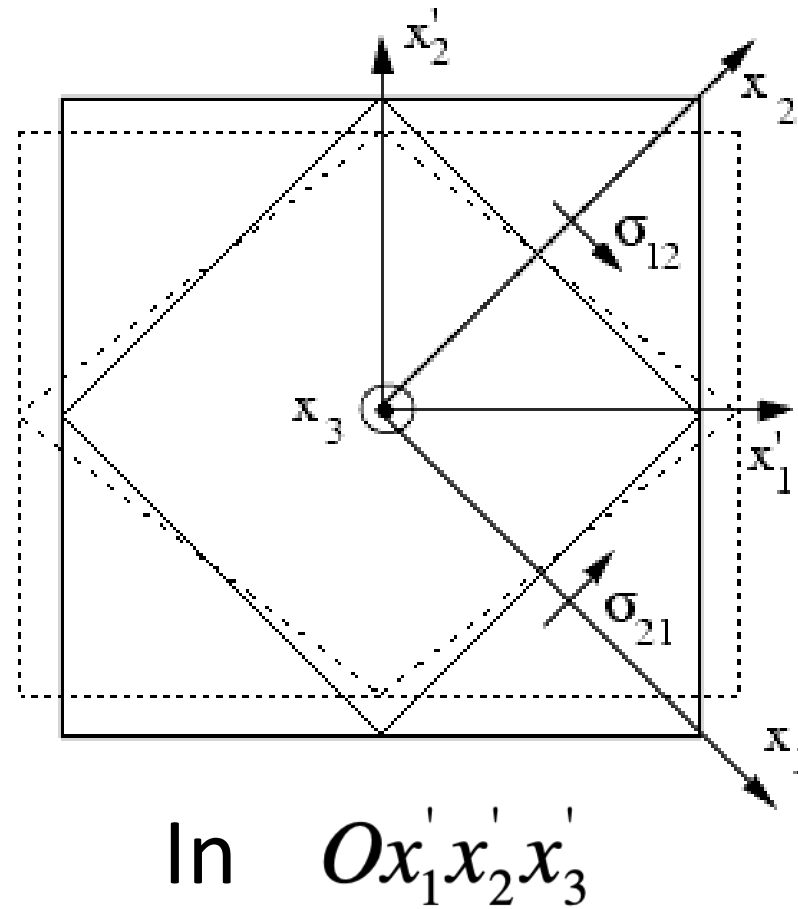
$$\sigma_{33} = c_{12}u_{11} + c_{12}u_{22} + c_{11}u_{33}$$

$$\sigma_{23} = 2c_{44}u_{23}$$

$$\sigma_{31} = 2c_{44}u_{31}$$

$$\sigma_{12} = 2c_{44}u_{12}$$

Anisotropy coefficient



$$\bar{\sigma} = \begin{bmatrix} 0 & \sigma_{12} & 0 \\ \sigma_{12} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad \bar{u} = \begin{bmatrix} 0 & u_{12} & 0 \\ u_{12} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\bar{\sigma}' = \begin{bmatrix} \sigma'_{11} & 0 & 0 \\ 0 & \sigma'_{22} & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad \bar{u}' = \begin{bmatrix} u'_{11} & 0 & 0 \\ 0 & u'_{22} & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\sigma'_{11} = \sigma_{12} \quad \sigma'_{22} = -\sigma_{12} \quad u'_{11} = u_{12} \quad u'_{22} = -u_{12}$$

Cubic $\sigma'_{11} = \sigma_{12} = 2C_{44}u_{12}$

$$\sigma'_{11} = C_{11}u'_{11} + C_{12}u'_{22} = (C_{11} - C_{12})u_{12}$$

$$2C_{44} = (C_{11} - C_{12}) \Rightarrow$$

Anisotropy coefficient

$$A = \frac{2C_{44}}{C_{11} - C_{12}}$$

	Na	K	Fe	W	Al	Cu	Pb	C _{diam}	NaCl	KCl
A	7.5	5.7	2.4	1	1.2	3.2	4	1.6	0.7	0.36

Inverse generalized Hooke law

$$u_{ij} = S_{ijkl} \sigma_{kl}$$

$$S_{ijkl} = S_{mn} \quad m, n = 1, 2, 3$$

$$S_{ijkl} = \frac{1}{2} S_{mn} \quad m \neq n = 4, 5, 6$$

$$S_{ijkl} = \frac{1}{4} S_{mn} \quad m = n = 4, 5, 6$$

for instance

$$u_1 = S_{11} \sigma_1 + S_{12} \sigma_2 + S_{13} \sigma_3 + \frac{1}{2} (S_{14} \sigma_4 + S_{15} \sigma_5 + S_{16} \sigma_6)$$

Auxetic solid, $\nu < 0$

